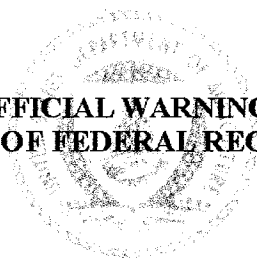


UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS



CASE NO.
TN130092-AC

VIOLATOR
Richmond Smith

ADDRESS (Street, City, State, Zip Code)

**P.O. Box 115
Hillham, TN 38568**

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal law:

Date of Violation: June 9, 2012

15 U.S.C. § 1824(7) – Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“c) Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Richmond Smith entered a horse, “Ozone’s Ace” in the 6th Annual Cumberland County Open Walking Horse Show in Crossville, TN, which tested positive for 0-aminoazotoluene, Octyl methoxycinnamate, and sulfur.

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since the violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS official.

APHIS OFFICIAL (Name, Title)

Dr. Chester A. Gipson
Deputy Administrator
Animal and Plant Health Inspection Service

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 20737

SIGNATURE

DATE ISSUED
19/2012

TELEPHONE NO.

(301) 851-3751

FOR PERSONAL SERVICE - RECEIVED BY: (Name and signature)

DATE RECEIVED:

FOR CERTIFIED MAIL - RECEIPT NO: